



Newsletter Chair in Regulatory Practice #15 (January 2022 – March 2022)

Welcome from Professor Jeroen van der Heijden, Chair in Regulatory Practice

Welcome to the combined 15th quarterly Regulatory Practice Newsletter highlighting recent activities, research and news from the Chair in Regulatory Practice (January 2022 – March 2022).

The Chair is based at the [School of Government](#), in the [Victoria University of Wellington](#). It is part of the New Zealand [Government Regulatory Practice Initiative \(G-REG\)](#), a network of central and local government regulatory agencies established to lead and contribute to regulatory practice initiatives.

Research

Regulatory failure

Regulatory failure is much talked about, but little understood. Discussions about regulatory failure are often discussions about different understandings of what can be expected of regulatory governance and public regulation. The rhetoric of regulatory failure (typically a blame game) easily (and often) overshadows its analytical explanation. To improve our understanding of this topic, this research paper presents findings from a broad scoping of the international academic literature on regulatory failure. The literature review is structured according to four broad perspectives on regulation: public interest theory, public choice theory, private interest theory, and institutional theories. It is available as a [State of the Art in Regulatory Governance research paper](#).

Other research papers

Over the last months, we have also made available the two research papers mentioned in the previous newsletter:

- [State of the Art in Regulatory Governance 10—Regulation and the war on red tape: A review of the international academic literature.](#)
- [State of the Art in Regulatory Governance 09—New Zealand’s Regulatory Stewardship as a guiding philosophy for regulatory reforms.](#)

Ongoing work

Jeroen is working on book chapters for two handbooks that will be published in 2022 or 2023. The first is the *Research Handbook on EU Law Enforcement* (Edward Elgar), for which Jeroen will write a chapter on various theoretical perspectives on enforcement and compliance and illustrate these with examples from the EU. The second is the *Handbook of Public Policy Implementation* (Edward Elgar), for which Jeroen will write a chapter on regulation as a specific approach to public policy implementation as well as the implementation of regulation itself. Pre-publication versions of the chapters will be made available in the [State of the Art in Regulatory Governance research paper series](#) in due course.

Education

Postgraduate Certificate in Public Management

The first cohort to the Post Graduate Certificate in Public Management (PGCPM) (see a [previous newsletter for details](#)) have completed the first course, taught by [Dr Verna Smith](#). They have started the next course, taught by Jeroen, on regulatory governance in practice. The PGCPM will be delivered to this cohort throughout 2022. The PGCPM is targeted at experienced public officials, particularly regulatory or policy practitioners in or aspiring to management in central or local government organisations. The PGCPM will expand their understanding of the theory and practice of public management and regulatory practice in the 21st century in Aotearoa/New Zealand and open career development options. Participation leads to upgraded personal competencies and capacities as managers and the ambition, imagination and courage to improve practice in regulatory organisations. For more information, please get in touch with Dr Verna Smith, verna.smith@vuw.ac.nz

Masterclass in Regulation

Jeroen will deliver the Masterclass in Regulation (referred to as ‘Regulatory Governance Training for Boards program’ before) to two cohorts of Board Members in April and May 2022. Most likely, we will work with additional cohorts later the year. Please keep an eye on the [G-REG website](#) for dates.

Students

Michael Howden has completed his MSc thesis, “An Evaluation of How Systems Thinking Can Improve Regulation”, under Jeroen’s supervision. The thesis will be made available in due course on the [VUW thesis repository](#). Jeroen continues the PhD thesis of Geetanjali Sharma (co-supervised with [Dr Flavia Donadelli](#)). In addition, Jeroen has continued working with his PhD students Sayel Cortes Berrueta at Wageningen University and Shengnan Wang at the Australian National University.

Outreach

Workshops and (online) seminars delivered:

- Online seminar for ANZOG: Then Global Trends in Regulation (25 March)

Publications/output

- *Academic*
 - Ryan Wong and [Jeroen van der Heijden](#) (2022) “How does symbolic commitment strengthen the resilience of sustainability institutions? Exploring the role of bureaucrats in Germany, Finland, and the UK”, *Sustainable Development*, 30(1), 10-22.
 - Sayel Cortes Berrueta, [Jeroen van der Heijden](#), Simon Bush, and Ingrid Boas (2022) “Unpacking the Heterogeneity of Climate City Networks”, *Cities*, 121 (February 2022), Article 103512.
 - [Jeroen van der Heijden](#) (2022) “Regulation of urban and climate futures.” IN: Brears., R. (ed), *Palgrave Encyclopedia of Urban and Regional Futures*. New York: Palgrave Macmillan, 1-7, DOI: 10.1007/978-3-030-51812-7_144-1
 - [Jeroen van der Heijden](#) (2022) “Voluntary programs for urban and regional futures.” IN: Brears., R. (ed), *Palgrave Encyclopedia of Urban and Regional Futures*. New York: Palgrave Macmillan, 1-4, DOI: 10.1007/978-3-030-51812-7_145-1

- Jeroen van der Heijden (2022) “Behavioral science informed governance for urban and regional futures” IN: Brears., R. (ed), *Palgrave Encyclopedia of Urban and Regional Futures*. New York: Palgrave Macmillan, 1-2: DOI: 10.1007/978-3-030-51812-7_146-1
- Jeroen van der Heijden, (2022) “Een wildgroei aan perspectieven op gedrag, regelgeving en handhaving: In hoeverre vullen ze elkaar aan?” (An explosion of behavioral perspectives on regulation and compliance: To what extent are they complementary?), *Tijdschrift voor Compliance*, 22(2), 54-58.
- *Blogs*
 - 21 March 2022 – Brief book review: *The Law of Good People: Challenging States’ Ability to Regulate Human Behaviour*
 - 14 March 2022 – Brief book review: *Advanced Introduction to Law and Psychology*
 - 7 March 2022 – Brief book review: *Implementing Public Policy (4th Edition)*
 - 28 February 2022 – Regulatory failure review: Now available as open access paper
 - 21 February 2022 – Regulatory failure (6): Bringing it all together
 - 14 February 2022 – Regulatory failure (5): A private interest perspective
 - 7 February 2022 – Regulatory failure (4): Institutional perspectives
 - 31 January 2022 – Regulatory failure (3): A public choice perspective
 - 23 January 2022 – Regulatory failure (2): A public interest perspective
 - 14 January 2022 – Regulatory failure (1): A review of the international academic literature